<u>Codes Used within the Excel/CSV Templates</u>

Contract Status Codes: New, Cancelled, Existing and Serviced.

New – New preneed purchase agreement or trust account or insurance policy issued.

Cancelled - Identifies a purchase agreement that is to be removed from the active contract account log, based upon the seller's or purchaser's cancellation of the purchase agreement.

Existing – Identifies pre-existing purchase agreement. Reported when the purchaser adds fund to the existing account. Financial institutions should not report existing transaction where the only activity on the account during the year is interest income.

Serviced - Identifies a purchase agreement that is to be removed from the active contract account log, based upon fulfillment and delivery of all merchandise and services.

Application of the Codes:

Contract Status Code	Financial Activity Codes to be used	
New	NF	
Existing	AF, WI	
Cancelled	WC, WP,WO, WT,	
Serviced	WS	

Funding Type Codes: Insurance, Surety Bond, Master Trust and Trust.

<u>Financial Activity Codes</u>:

AF =Additional Funding: Identifies additional funding of an existing purchase agreement.

NF =New Funding: Identifies funding in connection with a new purchase agreement sold during the year covered by the annual report

WC =Withdrawal Cancellation: Identifies a withdrawal that is made in connection with the cancellation of an existing purchase agreement.

WO =Withdrawal Other: Identifies any withdrawal that is made in connection with the cancellation of an existing purchaser agreement not covered by the other financial activity codes related to withdrawals

WP =Withdrawal Partially Serviced: Identifies a withdrawal related to an existing purchase agreement that is based upon partial fulfillment or delivery of merchandise and/or services

WS =Withdrawal Serviced: Identifies a withdrawal related to an existing purchase agreement that is based upon fulfillment and delivery of all merchandise and services under the purchase agreement

WT =Withdrawal Transfer: Identifies a withdrawal related to the cancellation of an existing purchase agreement by the purchaser involving a transfer of trust funds or insurance funding.

WI =Withdrawal Trust Income: Identifies the withdrawal of trust interest or income by the preneed seller in accordance with Iowa Code section 523A.201 (8).

*All codes in red must be entered as they appear above.